



Tetrahedron Letters 40 (1999) 7871-7874

High regioselectivity in the Diels-Alder reaction of a surfactant 1,3-diene with a surfactant dienophile resulting from a short tether between their functional groups and head groups

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Received 12 July 1999; accepted 3 August 1999

Abstract

The Diels-Alder reaction of surfactant 1,3-diene 5 and surfactant dienophile 6 within their aqueous mixed micelles at 25(35)°C gave a 30:1 ratio of cycloadducts 14 and 15, respectively. The high regioselectivity was ascribed to a combination of alignment of 5 and 6 at the mixed micelle-H₂O interface and the short tether between their functional groups and head groups. © 1999 Elsevier Science Ltd. All rights reserved.

Keywords: Diels-Alder reactions; micellar systems; regiocontrol; surfactants.

Water is becoming an ever-more useful solvent for organic reactions.¹ In particular, Diels-Alder reactions performed in H₂O and aqueous surfactant-based media generally exhibit increased rates and stereoselectivities relative to reactions in conventional organic solvents.² But the ability of surfactant-based media to control the regioselectivity of Diels-Alder reactions has received only modest attention.³

In several studies, ^{3a-c} we have utilized orientational effects within aqueous micelles to control the regioselectivity of Diels-Alder reactions between surfactant 1,3-dienes and surfactant dienophiles. The reaction of 1 with 2 in H₂O at 25°C gave a 6.6:1 ratio of cycloadducts 3 and 4, respectively. ^{3a} The former is the expected regioisomer if 1 and 2 react in their preferred aligned orientations within a mixed micelle, with the quaternary ammonium head groups at the aggregate-H₂O interface and the remainder of each surfactant extended into the mixed micelle interior. This Diels-Alder system should display no regiochemical preference in the absence of such orientational effects, since the substituents at carbons 1 and 2 within 2 are close to being both electronically and sterically equivalent with respect to the dienophile reaction center.

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In surfactants 1 and 2, the substituted 1,3-diene and dienophile groups are separated from the quaternary ammonium head groups by a tether of six methylene units. Herein, with surfactants 5 and 6, we report the effect on Diels-Alder regioselectivity of decreasing the length of the tether from six to two methylene units, while maintaining at 14 the total number of carbon atoms in the tether and terminal alkyl chain.

The synthesis of surfactant 1,3-diene 5 is summarized below.⁴ Amino thiol hydrochloride 7 (Aldrich) was converted⁵ into disulfide 8 (Scheme 1). 1,3-Diene 9⁶ was transformed⁶ into 1,3-diene 11 in a one-pot sequence of reactions. The reaction of 9 with MeLi was followed by that of the resultant carbanion with n-dodecyldimethylchlorosilane (Aldrich) to give 1,3-diene 10. Then the reaction of 10 with MeLi was followed by that of the resultant carbanion with disulfide 8 to give 11. Quaternization of 11 with MeI gave 5. By surface tensiometry (du Noüy ring) the critical micelle concentration (cmc) of 5 in H₂O at 25°C is 7.5×10^{-5} M.

Scheme 1. (a) NaClO₂, MeOH-H₂O, 0°C; (b) NaOH; (c) MeLi, THF, -78°C; (d) C₁₂H₂₅SiMe₂Cl; (e) (SCH₂CH₂NMe₂)₂ (8); (f) MeI, THF, 25°C

The synthesis of surfactant dienophile 6 is summarized below. The reaction of maleic anhydride with 2-(N,N-dimethylamino)ethanol gave half ester 12 (Scheme 2). This material was converted into diester 13, which on quaternization with MeI gave 6. The cmc of 6 in H₂O at 25°C is 3.0×10^{-4} M.

Scheme 2. (a) HOCH₂CH₂NMe₂, C₆H₅Me, reflux; (b) C₁₂H₂₅OH, DCC, DMAP, CH₂Cl₂, 25°C; (c) MeI, MeCN, 25°C

Diels-Alder reactions of 5 with excess 6 in H₂O at 25°C, with added 4-tert-butylcatechol, gave cyclo-adducts 14 and 15. Each reaction mixture was diluted with MeCN and rotary evaporated to dryness at ca. 45°C. The resultant residue was analyzed by ¹H NMR (CDCl₃) and analytical reversed-phase (C8) HPLC⁷ to give the 14/15 ratio and the yield of 14+15. The results are summarized in runs 1-4 in Table 1.

Table	1
Diels-Alder	reactions

run	1,3-diene		dienophile			reaction	reaction		regioisomer
	nature	concn, M	nature	conen, M	medium"	temp, °C	time, h	% yield ⁶	ratio ^{c.d}
1	5	0.0078	6	0.013	H,O	25	40	79	•
2	5	0.0081	6	0.013	H,O	25	25	83	26:1
- 3	5	0.0086	6	0.014	H,O	25	48	70	36:1
4	5	0.019	6	0.027	H ₂ O	35	48	98	28:1
5	11	0.066	13	0.14	C ₆ H ₅ Me	75	36	74	1:1.6
6	11	0.066	13	0.15	C ₆ H ₅ Me	80	48	84	1:1.3

"Reaction mixtures contained 4-tert-butylcatechol (10 mol % with respect to 1,3-diene). Determined by ¹H NMR analysis of crude mixtures of products and unreacted starting materials: 14+15 in runs 1-4; 16+17 in runs 5 and 6. In runs 2-4, 14/15 ratios were determined by HPLC analysis of crude mixtures of products and unreacted starting materials. In runs 5 and 6, 16/17 ratios were determined from masses of isolated 16 and 17. No 15 was detected by ¹H NMR analysis of the crude mixture of products and unreacted starting materials.

The structural assignments illustrated for 14 and 15 are based on their HPLC behavior and analogy to closely related systems³ and are therefore tentative.

Diels-Alder reactions of amines 11 and 13 were performed in C₆H₅Me at 75(80)°C, with added 4-tert-butylcatechol, to give regioisomeric cycloadducts 16 and 17. The reaction mixtures were rotary evaporated at ca. 70°C, and 16 and 17 were separated by medium pressure liquid chromatography (Baeckström Separo) on silica gel (Merck 9385) with gradient elution (100% hexane to 70:28:2 hexane:EtOAc:Et₃N). The results are summarized in runs 5 and 6 in Table 1. Separately, 16 and 17 were converted into 14 and 15, respectively, by quaternization with MeI in MeCN.

As noted above, the cmcs of 5 and 6 in H_2O at $25^{\circ}C$ are 7.5×10^{-5} and 3.0×10^{-4} M, respectively. Thus, runs 1–4 were performed well above their cmcs under mixed micellar conditions. The excess of 14 over 15 obtained from the cycloaddition of 5 and 6 is consistent with interfacial orientational effects analogous to those elaborated above for the Diels-Alder reaction of 1 and 2. But unlike for dienophile 2, the substituents at carbons 1 and 2 within dienophile 6 are not electronically and sterically equivalent, since the tether between the substituted dienophile unit and the quaternary ammonium head group is only two methylene units, as opposed to six in 2. The proximity of the positively charged head group to the substituted dienophile unit renders the ester group at carbon 1 of 6 more electron withdrawing than the ester group at carbon 2. The Hammett σ_m and σ_p values for -(CH₂)_nN⁺Me₃ are as follows: 0.88 and 0.82 for n=0; 0.40 and 0.44 for n=1; 0.16 and 0.13 for n=2; 0.06 and -0.01 for n=3, respectively.⁸ These values indicate that the electron withdrawing inductive effect of -(CH₂)_nN⁺Me₃ decreases substantially as n increases but is still finite for n=2. The Hammett σ_m and σ_p values for -Me and -CO₂R are as follows: -0.07 and -0.17; 0.37 and 0.45, respectively.⁸ A comparison of the values for -Me and -(CH₂)₂N⁺Me₃ supports the above conclusion that the ester group at carbon 1 of 6 is more electron withdrawing than that at carbon 2.

For a 2,3-disubstituted 1,3-diene bearing thio and silyl groups, the former is expected to direct the re-

gioselectivity of its Diels-Alder reactions. The electron withdrawing inductive effect of -(CH₂)₂N⁺Me₃ within 5 should not alter this expectation. Thus, the reaction of 5 and 6, whose ester group at carbon 1 dominates that at carbon 2 in electron withdrawal as noted above, is predicted to give an excess of cycloadduct 15 over 14 in the absence of interfacial orientational effects.

In runs 5 and 6 in Table 1, a 1:1.4 ratio of regioisomers 16 and 17 was obtained in the Diels-Alder reaction of unaggregated nonsurfactant analogues 11 and 13. The modest excess of 17 over 16 is consistent with steric effects but inconsistent with electronic effects. The former involves an unfavorable interaction between the large dodecyl-containing substituents within the cycloaddition transition state leading to 16, which is unmitigated by hydrophobic association as within mixed micellar 5 and 6. The latter effects predict⁹ an excess of 16 over 17 as the result of regiochemical direction by the thio group of 2,3-disubstituted 1,3-diene 11 and a greater inductive electron withdrawal by the dodecyl ester group of 13 relative to its 2-(N,N-dimethylamino)ethyl ester group. The Hammett σ_m and σ_p values for -NMe₂ are as follows: -0.16 and -0.83, respectively.⁸

The 30:1 ratio of 14 and 15 obtained in the cycloaddition of 5 and 6 within aqueous mixed micelles at 25(35)°C in this study is substantially greater than the 6.6:1 ratio of 3 and 4 obtained in that of 1 and 2 under comparable conditions.^{3a} The greater regioselectivity with 5 and 6 is attributed to the shorter tether between their quaternary ammonium head groups and reactive 1,3-diene and dienophile groups. The shorter tether provides less opportunity for misalignment of the 1,3-diene and dienophile groups, which can lead to minor regioisomer 15.

Acknowledgements

We thank the National Science Foundation (CHE-9526188) for support of this research and Dr. Abdullah Zafar for the final purification of 15. Mass spectrometry was provided by the Washington University Mass Spectrometry Resource, an NIH Research Resource.

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